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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-------------|----------------------|---------------------|------------------|
| 10/542,934 | 07/21/2005 | Hiroshi Akaba | SHG-16197 | 9164 |
| 40854 7590 11/19/2008 RANKIN, HILL & CLARK LLP 38210 Glenn Avenue | | | EXAMINER | |
| | | | ROCCA, JOSEPH M | |
| WILLOUGHBY, OH 44094-7808 | | | ART UNIT | PAPER NUMBER |
| | | | 3616 | |
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| • | | | . MAIL DATE | DELIVERY MODE |
| | | | 11/19/2008 | PAPER |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| | Application No. | icant(s) | | | | |
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| Supplemental | 10/542,934 AKA | BA ET AL. | | | | |
| Notice of Allowability | Examiner Art U | | | | | |
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| <u></u> | JOSEPH ROCCA 3616 | | | | | |
| The MAILING DATE of this communication appeal. All claims being allowable, PROSECUTION ON THE MERITS IS (therewith (or previously mailed), a Notice of Allowance (PTOL-85) of NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIC of the Office or upon petition by the applicant. See 37 CFR 1.313 | OR REMAINS) CLOSED in this application or other appropriate communication will be GHTS. This application is subject to withd | n. If not included emailed in due course. THIS | | | | |
| 1. X This communication is responsive to the IDS filed on 10/15/ | <u>08</u> . | *# * | | | | |
| 2. 🔀 The allowed claim(s) is/are <u>1 and 4-10</u> . | | | | | | |
| 3. ☑ Acknowledgment is made of a claim for foreign priority und a) ☑ All b) ☐ Some* c) ☐ None of the: | | t | | | | |
| Certified copies of the priority documents have | | | | | | |
| 2. Certified copies of the priority documents have | been received in Application No | | | | | |
| 3. Copies of the certified copies of the priority doc | uments have been réceived in this nationa | al stage application from the | | | | |
| International Bureau (PCT Rule 17.2(a)). | | | | | | |
| * Certified copies not received: | 1996 1996 | | | | | |
| A TUDEE MONTHS EDOM THE WANTED DATES | | | | | | |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" o noted below. Failure to timely comply will result in ABANDONME THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. | | ring with the requirements | | | | |
| A SUBSTITUTE OATH OR DECLARATION must be submit INFORMAL PATENT APPLICATION (PTO-152) which gives | | | | | | |
| 5. CORRECTED DRAWINGS (as "replacement sheets") must | be submitted. | | | | | |
| (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached | | | | | | |
| 1) hereto or 2) to Paper No./Mail Date | | • | | | | |
| (b) ☐ including changes required by the attached Examiner's | Amendment / Comment or in the Office a | ction of | | | | |
| Paper No /Mail Date | 4 W | | | | | |
| Identifying indicia such as the application number (see 37 CFR 1.8 each sheet. Replacement sheet(s) should be labeled as such in the | | he front (not the back) of | | | | |
| 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. | | | | | | |
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| Attachment(s) | | * . * | | | | |
| 1. Notice of References Cited (PTO-892) | 5. Notice of Informal Patent A | pplication , | | | | |
| 2. Notice of Draftperson's Patent Drawing Review (PTO-948) | 6. Interview Summary (PTO Paper No./Mail Date | | | | | |
| 3. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 11/10/2008 | 7. X Examiner's Amendment/Co | | | | | |
| Examiner's Comment Regarding Requirement for Deposit of Biological Material | 8. Examiner's Statement of R | easons for Állowance | | | | |
| <u> </u> | 9. 🗌 Other 🚣 | | | | | |
| /Joseph Rocca/ | /John Q. Nguyen/ | ., | | | | |
| Examiner, Art Unit 3616 | Supervisory Patent Examiner | , Art Unit 3616 | | | | |
| ************************************** | 4.5 | | | | | |
| | | , # *. | | | | |

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Information Disclosure Statement

- 1. The listing of references in the Search Report is not considered to be an information disclosure statement (IDS) complying with 37 CFR 1.98. 37 CFR 1.98(a)(2) requires a legible copy of: (1) each foreign patent; (2) each publication or that portion which caused it to be listed; (3) for each cited pending U.S. application, the application specification including claims, and any drawing of the application, or that portion of the application which caused it to be listed including any claims directed to that portion, unless the cited pending U.S. application is stored in the Image File Wrapper (IFW) system; and (4) all other information, or that portion which caused it to be listed. In addition, each IDS must include a list of all patents, publications, applications, or other information submitted for consideration by the Office (see 37 CFR 1.98(a)(1) and (b)), and MPEP § 609.04(a), subsection I. states, "the list ... must be submitted on a separate paper." Therefore, the references cited in the Search Report have not been considered. Applicant is advised that the date of submission of any item of information or any missing element(s) will be the date of submission for purposes of determining compliance with the requirements based on the time of filing the IDS, including all "statement" requirements of 37 CFR 1.97(e). See MPEP § 609.05(a).
- 2. In the present situation submitted with the IDS of 11/10/2008 was a translation for a search report from the Japanese Patent Office, dated 11/4/2008. In this translation a document is cited by the Japanese Examiner as being relevant to the patent claims, this document is called "citation 2," and is a Japanese Unexamined Patent Application, First Publication No. 2000-006759 (see, Translation of "Notices of Reason for

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Rejection" dated 11/4/08). This reference could not be located in the patent file or through a phone call to applicant's representative and does not appear to have been previously submitted in or with any Information Disclosure Statement. As such the Supplemental Notice of Allowance is being made without consideration of this document. As a further note, should applicant wish to have this document considered they should be aware that if this document was previously known prior to the submission of the latest IDS, the statements under 37 CFR 1.97(e) may not apply, depending upon when the applicant or others covered by 37 CFR 1.56(c) became aware of the reference.

As a final note that applicant appears to have been aware of at least the document called "Citation 1" in the search report, which is a Japanese Unexamined Patent Application, First Publication No. H10-338111, because this was submitted on 6/12/07. Accordingly, this reference has already been considered. So applicant need not submit this reference for consideration. Only, the document cited as "Citation 2" need be submitted, should the applicant wish this document to be considered.

3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to JOSEPH ROCCA whose telephone number is (571)272-5191. The examiner can normally be reached on 8:30 AM to 5:00 PM, Monday through Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Q. Nguyen can be reached on 571-272-6952. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/John Q. Nguyen/ Supervisory Patent Examiner, Art Unit 3616

/Joseph Rocca/ Examiner, Art Unit 3616